

F. CHAU & ASSOCIATES, LLP
ATTORNEYS AT LAW

1900 HEMPSTEAD TURNPIKE, SUITE 501
EAST MEADOW, NEW YORK 11554

FACSIMILE: (516) 357-0092

TELEPHONE: (516) 357-0091

E-MAIL: fchau@worldnet.att.net

FACSIMILE TRANSMISSION

DATE: March 28, 2002

TO:

OIPE

Fax No. (703) 746-4060

FROM:

Frank Chau, Esq.
F. Chau & Associates, LLP

NO. OF PAGES TO FOLLOW:

10

MESSAGE:

U.S. Patent Serial No. 09/971,953

Filing Date: October 5, 2001

**Title: METHOD FOR FORMING PATTERNS
FOR SEMICONDUCTOR DEVICES**

Our Docket: JP9-2000-0187US1 (8728-426)

IN CASE OF INCOMPLETE OR INADEQUATE TRANSMISSION, PLEASE CALL (516) 357-0091

Confidentiality Notice: The information contained in this facsimile message is legally privileged and/or confidential information intended only for the use of the individual or entity named above. If you are not the intended recipient, you are hereby notified that any use, dissemination, distribution, or copying of this facsimile or its content is strictly prohibited. If you have received this facsimile in error, please immediately notify us by telephone and return the original facsimile message to us by mail or destroy it without making a copy. Thank you.



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231
www.uspto.gov

Application Number	Filing Date	First Named Applicant	Atty. Docket No.
09/971,953	10/05/2001	Andry, Paul	JP9-2000-0187US1

Frank Chau
F. CHAU & ASSOCIATES, LLP
Suite 501
1900 Hempstead Turnpike
East Meadow NY 11554

Title: Method for forming patterns for semiconductor devices

Date Mailed: 03/21/2002

Request for Substitute Papers

The papers filed on 02/12/02 (certificate of mailing dated 01/28/02) are no longer in condition to become part of the permanent records of the United States Patent and Trademark Office (USPTO) for this application (37 CFR 1.52(a)) due to the United States Postal Service sanitization process.

The USPTO requests that applicant provide a copy of the above-identified papers (except for any U.S. or foreign patent documents submitted with the above-identified papers) with a statement that such copy is a complete and accurate copy of the above-identified papers (signing and returning a copy of this notice will provide such a statement). The reply to this letter should be submitted to the USPTO by facsimile at the number indicated **703-746-4060(OIPE)**.


Alternatively, the reply to this letter may be hand-carried to the Customer Service Window located in Room 1B03 of Crystal Plaza Building 2, Arlington, Virginia, 22202.

The USPTO **strongly** prefers that the reply to this letter be submitted by facsimile. However, if applicant cannot submit the reply to this letter by facsimile (or hand-delivery), the reply may be mailed to: Box Duplicate OIPE, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202-2327.

This letter is not a notice under 37 CFR 1.251. However, failure to timely replay to this notice within **two (2) weeks** of the date of receipt of this letter may result in the USPTO issuing a notice under 37 CFR 1.251. **A copy of this notice should be included with the reply.**

The enclosed papers are a complete and accurate copy of the above-identified papers.

Name: Frank Chau Registration No.: 34,136

Signature:  Date: 3/28/02

COPY
ORIGINAL
02/12/02
PERS
FILED

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Andry et al.

Docket: JP9-2000-0187US1 (8728-426)

Serial No.: 09/971,953

Filed: October 5, 2001

For: METHOD FOR FORMING PATTERNS FOR SEMICONDUCTOR DEVICES


Assistant Commissioner for Patents
Washington, DC 20231RESPONSE TO NOTICE TO FILE MISSING PARTS
OF APPLICATION - FILING DATE GRANTED

Sir:

In response to the Notice to File Missing Parts of Application - Filing Date Granted, dated November 6, 2001, Applicant encloses herewith a properly executed original Declaration and Power of Attorney in compliance with 37 C.F.R. §1.63 along with a copy of the Notice to File Missing Parts of Application - Filing Date Granted.

Please charge the required fee of \$130.00 to cover the surcharge under 37 C.F.R. §1.16(e) to Deposit Account No. 50-0510/IBM (Yorktown Heights). Also, in the event any additional extensions of time are required, please treat this paper as a petition to extend the time as required and charge Deposit Account No. 50-0510/IBM. **TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.**

Respectfully submitted,



Frank Chau
Registration No. 34,136
Attorney for Applicant(s)F. CHAU & ASSOCIATES, LLP
1900 Hempstead Turnpike, Suite 501
East Meadow, New York 11554
(516) 357-0091
FC:sgCERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231 on January 28, 2002.

Dated: 1/28/02

Frank Chau



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/971,953	10/05/2001	Paul S. Andry	JP9-2000-0187US1

Frank Chau
F. CHAU & ASSOCIATES, LLP
Suite 501
1900 Hempstead Turnpike
East Meadow, NY 11554COPY OF PAPERS
ORIGINALLY FILED
02/12/02CONFIRMATION NO. 4099
FORMALITIES LETTER

OC000000007033171

Date Mailed: 11/06/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE